

# RAJIV KHOSLA & ASSOCIATES

PRACTISING COMPANY SECRETARY

M.Com FCS

B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad, U.P.-201005

Mobile : 9811583699 Telefax : 95120-2610974

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To,

The Bombay Stock Exchange Limited, Mumbai  
The National Stock Exchange of India Ltd., Mumbai

Dear Sir,

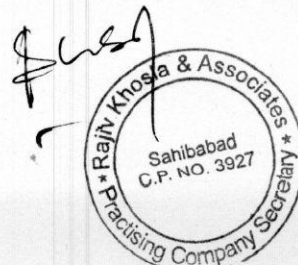
## CERTIFICATE FOR THE QUARTER ENDED ON 30.06.2016

This is to certify that we have verified the records produced before us of M/s. Alps Industries Limited having its Registered & Corporate Office at 57/2, Site - IV, Industrial Area, Sahibabad, Ghaziabad - 201010 (U.P) for the Quarter Ended on 30<sup>TH</sup> June 2016.

1. For Quarter Ended	:	30 <sup>th</sup> June 2016
2. ISIN	:	INE093B01015
3. Face Value	:	RS. 10/- EACH
4. Name of the Company	:	ALPS INDUSTRIES LIMITED
5. Registered Office Address	:	57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P)
6. Correspondence Address	:	57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P)
7. Telephone & Fax Nos.	:	PHONE : 0120-4161700 FAX: 0120-2896041
8. Email address	:	ajaygupta@alpsindustries.com
9. Names of Stock Exchanges where the Company's securities are listed	:	Equity shares are listed at NSE & BSE.
10. Issued Capital - Equity shares		
11. Listed Capital on NSE & BSE & (Exchange - wise)		
12. Held in Dematerialised form in NSDL	:	28740237 73.48
13. Held in Dematerialised form in CDSL	:	10277153 26.27
14. Physical	:	96710 0.25
15. Total No. of Shares (12+13+14)	:	39114100 100.00%
16. Reasons for difference if any, between (10&11), (10&15), (11&15)	:	NIL
17. Certifying the details of changes in equity share capital during the quarter consideration as per Table below :		

Particulars****	No. of shares	Applied/Not Applied for listing	Listed on Stock Exchanges	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. appr. Pending for SE
N.A.	N.A.	N.A.	N.A	N.A.	N.A.	N.A.

\*\*\*\* Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)



18. **Register of Members in updated (Yes/No)** :   
If not, updated upto which dated :
19. Reference of previous quarter with regards to excess dematerialized shares, if any.
20. Has the company resolved the matter mentioned in point no. 19 above in the current quarter ? If not, reason why ?
21. Mentioned the total no. of request, if any, confirmed after 21, days and the total no. of request pending beyond 21 days with the reasons for delay :

Total No. of demat request	No. of request	No. of shares	Reasons for delay
Confirmed after 21 Days (NSDL)	N.A	N.A	N.A
Confirmed after 21 Days (CSDL)	N.A	N.A	N.A
Pending for more than 21 days	N.A	N.A	N.A

22. **Name, Telephone & Fax No. of the Compliance Officer of the Co.** :   
PHONE : 0120-4161716  
FAX: 0120-2896041
23. **Name, Address, Tel. & Fax No., Regn. No. of the CA/CS** :   
PRACTISING COMPANY SECRETARY  
PHONE : 9811583699  
C.P. NO.- 3927
24. **Appointment of common agency for share registry work If yes (name & address)**   
205-208, ANARKALI MARKET, JHANDEWALAN  
EXTN., NEW DELHI-110055
25. Any other detail that the CS may like to provide. (e.g. BIFR company, delisting from SE company changed its name etc.) --
1. The Draft Rehabilitation Scheme is under process of approval by BIFR.
  2. The company has entered into a Settlement and General Release Agreement with Royal Bank of Scotland (RBS) on April 25, 2016 for settlement of all disputed claims of ABN Amro Bank NV against certain disputed derivative transactions entered into with ABN Amro Bank NV which has been shown by company as contingent liability estimated at Rs. 308 crore approx. as on June 2010. The execution is under process.
  3. The equity shares of the company are listed at National Stock Exchange India Limited (NSE) & Bombay Stock Exchange Limited under Scrip Code 530715/ALPSIND. As per the resolution of Board of Directors of the company at their meeting held on May 30 2016, pursuant to Regulations 6 & 7 of the SEBI (Delisting of Equity Shares) Regulation 2009, as amended from time to time, company has submitted the application for Voluntary Delisting of 39114100 Equity Shares from Bombay Stock Exchange (BSE), on 13.06.2016, which is under process.

FOR RAJIV KHOSLA & ASSOCIATES

PLACE: GHAZIABAD  
DATE : JULY 9, 2016

